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*March 31, 2005*

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**APPLICATION NUMBER: 60/545,772**

**FILING DATE: February 19, 2004**

**RELATED PCT APPLICATION NUMBER: PCT/US05/05088**



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16569 U.S. PTO

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This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c)

Express Mail Label No.: **EL 993859814 US**

22856 U.S. PTO

60/545772

021904

INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
Paul		Kohl		Atlanta, GA	
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Justin		Tullis		Stone Mountain, GA	
<input type="checkbox"/> Additional Inventors are being named on the <u>separately numbered sheets attached hereto.</u>					
TITLE OF THE INVENTION (500 characters max)					
THIN-FILM MEMBRANES FOR FUEL CELLS					
CORRESPONDENCE ADDRESS					
Direct all correspondence to:					
<input type="checkbox"/> Customer Number:		<div style="border: 1px solid black; padding: 5px; display: inline-block;">24504</div>			
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COUNTRY	U.S.A.	TELEPHONE	770-933-9500	FAX	770-951-0933
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages <u>25</u>		<input type="checkbox"/> CD(s), Number			
<input type="checkbox"/> Drawing(s) Number of Pages _____		<input type="checkbox"/> Other (Specify)			
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		FILING FEE			
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees		AMOUNT (\$)			
<input type="checkbox"/> The commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number		<div style="border: 1px solid black; padding: 5px; display: inline-block;">80.00</div>			
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This invention was made by an agency of the United States government or under a contract with an agency of the United States Government.					
<input type="checkbox"/> No.					
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Respectfully submitted,

SIGNATURE:



Date:

2/19/04

REGISTRATION NO.: 47,751

TYPE or PRINTED NAME: Christopher B. Linder, Ph.D.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revisions.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**80.00**

## Complete If Known

Application Number	TBA
Filing Date	February 19, 2004
First Named Inventor	Kohl, et al.
Examiner Name	TBA
Group / Art Unit	TBA
Attorney Docket No.	62004-8770

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number

20-0778

Deposit Account Name

Thomas, Kayden, Horstemeyer Risley, L.L.P.

The Commissioner is authorized to: (check all that apply)

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility Filing Fee	
1002 *	340	2002	170	Design Filing Fee	
1003	530	2003	265	Plant Filing Fee	
1004	770	2004	385	Reissue Filing Fee	
1005	160	2005	80	Provisional Filing Fee	80.00
SUBTOTAL (1)					(\$) <b>80.00</b>

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee From Below	Fee Paid
Total Claims			
Independent Claims	- 20** =	X 9.00	=
Multiple Dependent	- 3** =	X 43.00	=
		145.00	=

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				

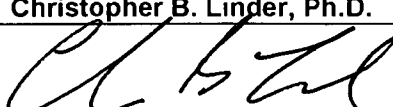
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## FEES CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge-late filing fee or oath	
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive-unavoidable	
1453	1,330	2453	655	Petition to revive-unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee for provisional application	
1806	180	1806	180	Submission of Information Disclosure Stmt	
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1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each add. invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited exam. of a design application	
Other fee (specify)					
SUBTOTAL (3) (\$)					

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## SUBMITTED BY

Typed or Printed Name	Christopher B. Linder, Ph.D.	Registration No.	47,751	Telephone Number	770-933-9500
Signature		Date	2/19/04		

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**PATENTS**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Kohl, et al.

For: Thin-Film Membranes for Fuel Cells

**CERTIFICATE OF EXPRESS MAIL  
FOR PROVISIONAL APPLICATION**

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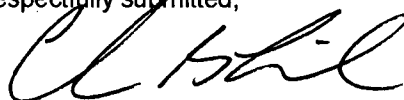
Sir:

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Provisional Application Patent Cover Sheet (1 Page)  
Provisional Application Consisting of:  
    25 Page(s) of Specification  
Fee Transmittal Form  
Provisional Application Filing Fee - \$80.00  
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Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.

Respectfully submitted,



**Christopher B. Linder, Reg. No. 47,751**

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY**

100 Galleria Parkway, N.W.  
Suite 1750  
Atlanta, Georgia 30339-5948

Our Reference No: **62004-8770**

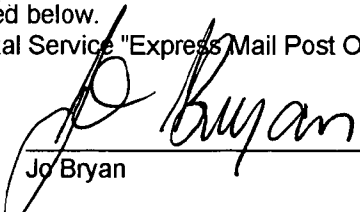
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## Thin-film Membranes for Fuel Cells Disclosure Summary

Thin-film membranes for use in proton exchange membrane (PEM) fuel cells have been developed. Also included in the development are ways in which to support these films. These membranes are made through common micro-fabrication techniques, including spin-coating and plasma enhanced chemical vapor deposition (PECVD), and can have a thickness up to 20 micrometers. The materials include polymers, silicon dioxide, and doped silicon dioxide. PEM fuel cells membranes work by conducting protons from the cell anode to cathode. The thinner the membrane, the easier it is for protons to move through it, thus increasing the amount of electrical current that can be generated. Meanwhile, these thin-film materials are superior to currently used PEMs in preventing reactants from passing through the membrane, a common problem particularly in direct methanol fuel cells.

One expected use of these thin-film membranes is in micro fabricated fuel cells. The films would be deposited directly onto the substrate during the fabrication sequence. One example of this is in a previous disclosure for micro fabricated fuel cells where the membrane is deposited onto a patterned sacrificial material, which when removed leaves microchannels for fuel flow.

In other applications, the delicate nature of such a thin material requires that it be supported. The support structures include anything with small holes or porous materials, such as fritted glass or a gas diffusion layer. A filler material, such as wax or polymer, is used to fill in the holes. It is then polished to expose the support structure. The membrane is then deposited on the smooth surface. Removal of the filler material leaves the supported membrane with exposed areas for contact between the membrane and reactants. Alternately, the use of a filler material with a high permeability to the reactants would not require its removal.

The fuel cell catalyst can be deposited with these membranes in a variety of methods, including through inks or sputtering. The deposition can be onto or into the support, in between the filler and membrane, or even imbedded into a non-removed filler.

Figure 1 shows a schematic diagram of a supported membrane in its testing setup. Figures 2 and 3 show the polarization curve for a 3-um thick SiO<sub>2</sub> film deposited onto a gas diffusion layer with a platinum catalyst loading of 0.35 mg/cm<sup>2</sup>.

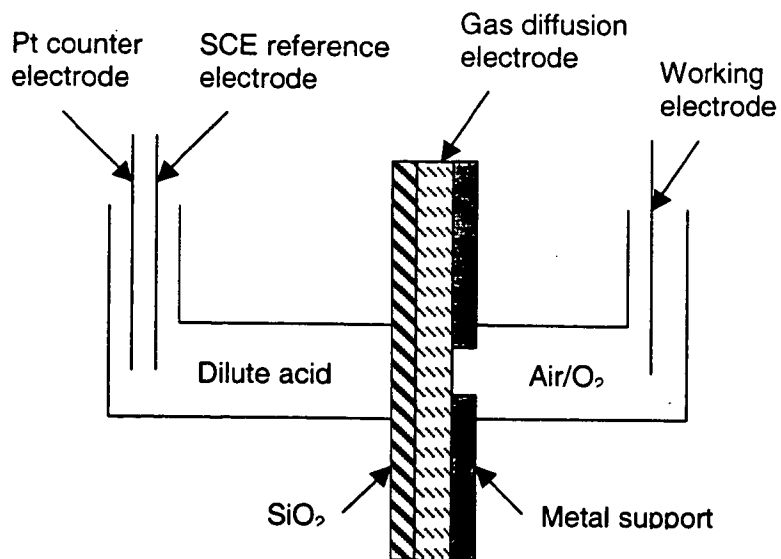


Figure 1: Thin-film membrane support and testing setup

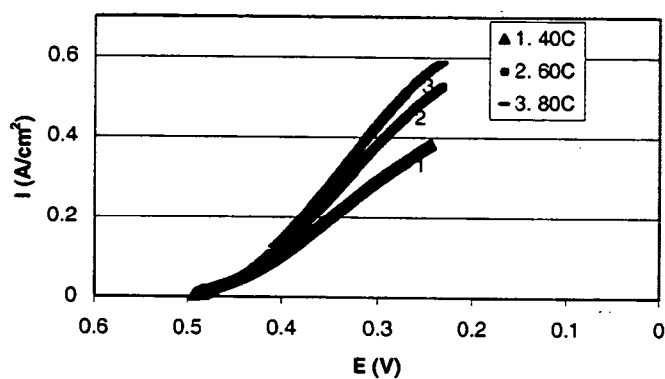


Figure 2: Cathode (air/O<sub>2</sub> half cell) polarization performance

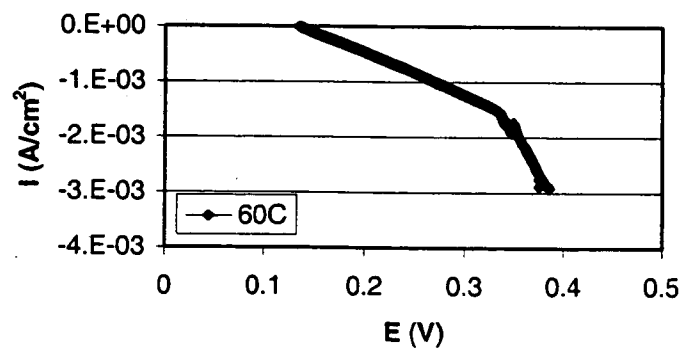


Figure 3: Anode (2M methanol) polarization performance



## Thin-film Membranes for Fuel Cells

The following is the first written description of using plasma-deposited  $\text{SiO}_2$  membranes in microfabricated fuel cells.

The concept has been expanded to include other thin-film materials, including doped  $\text{SiO}_2$ , and other fuel cell uses.

Plasma-deposited silicon dioxide was used as an overcoat material. The advantage of  $\text{SiO}_2$  membranes compared to more traditional materials is the thickness. Table 1.1 below shows a comparison of possible membrane materials for use in thin film fuel cells. Nafion has a higher conductivity, but the films used are much thicker than plasma-deposited  $\text{SiO}_2$  layers that can be less than  $1\text{ }\mu\text{m}$ . This is important because the resistance ( $R$ ) of the membrane is related to both its resistivity and thickness.  $R = (\rho t)/A$ , where  $\rho$  is resistivity,  $t$  is the thickness, and  $A$  is the area. Thus, an important figure of merit for comparing different membranes is the product  $\rho t$ . While Nafion's resistivity is lower than the low-temperature-deposited  $\text{SiO}_2$ , the thinner  $\text{SiO}_2$  films should give similar resistances.

Table 1: Fuel cell membrane resistances

Material	Resistivity ( $\Omega\text{-cm}$ )	Thickness ( $\mu\text{m}$ )	$\rho t = RA$ ( $\Omega\text{-cm}^2$ )
Nafion	100	100	1.0
High temp. $\text{SiO}_2$ (1)	$10^6$	0.5	50
Low temp. $\text{SiO}_2$	10,000	0.5	0.5

# **Thin-Film Membrane Materials for Use in Microfabricated Direct Methanol Fuel Cells**

**Christopher Moore, Jun Li, and Paul Kohl**

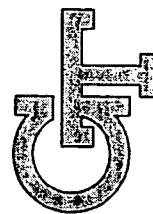
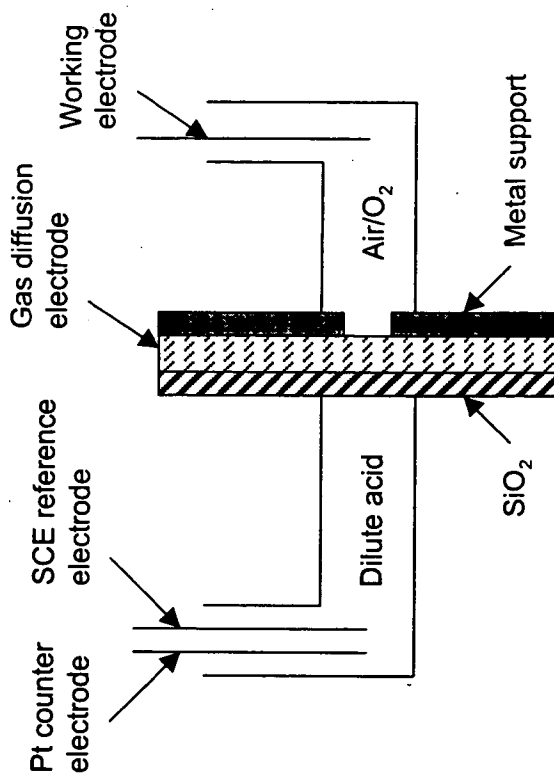
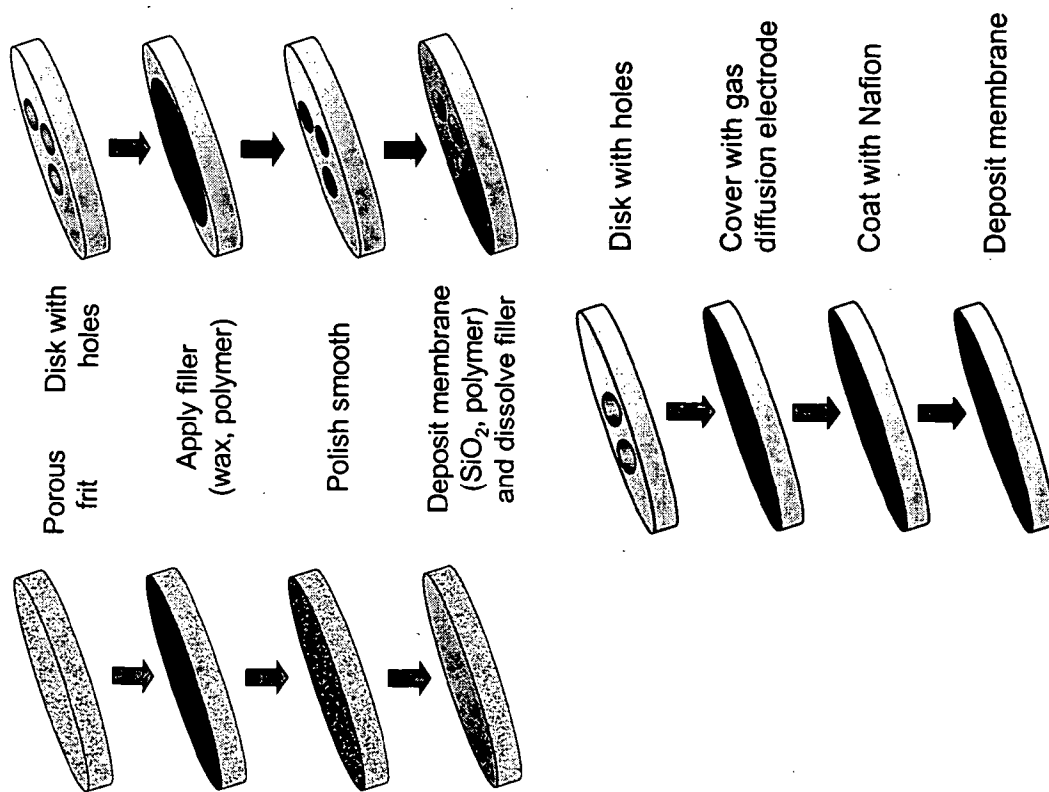
**Georgia Institute of Technology  
School of Chemical and Biomolecular Engineering**

# Introduction

- Motivation
  - Fuel cells offer higher energy density power sources for portable electronics
  - Methanol is conveniently stored in liquid form
  - Improvements must be made to reduce methanol crossover for more concentrated fuel
- Current Work
  - Microfabricated direct methanol fuel cells
  - Integrated on silicon wafer with integrated circuits
- Desired Characteristics of Membranes
  - Thickness:  $0.1 - 10 \mu\text{m}$
  - Conductivity:  $0.01 \text{ S/cm}$
  - Extremely low methanol crossover
  - Good performance at low relative humidity

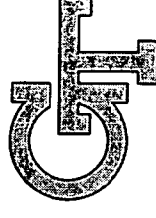


# Membrane Support and Testing



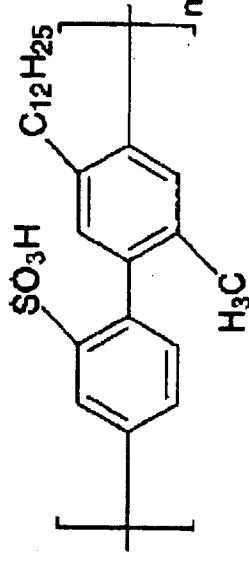
# Catalytic Electrodes

- Porous layer of sputtered platinum-ruthenium at both the anode and the cathode
  - Contact between reactants, catalyst, and membrane
  - Enough platinum to be electrically conductive
- Advantages
  - Lower Pt loading
    - O'Hayre, et al. J. Power Sources **109** (2002) 483-493
  - Reduction of methanol crossover
    - Choi, et al. J. Power Sources **96** (2001) 411-414

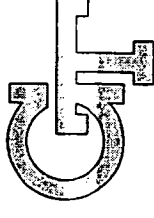


# Polyphenylene Sulfonic Acid

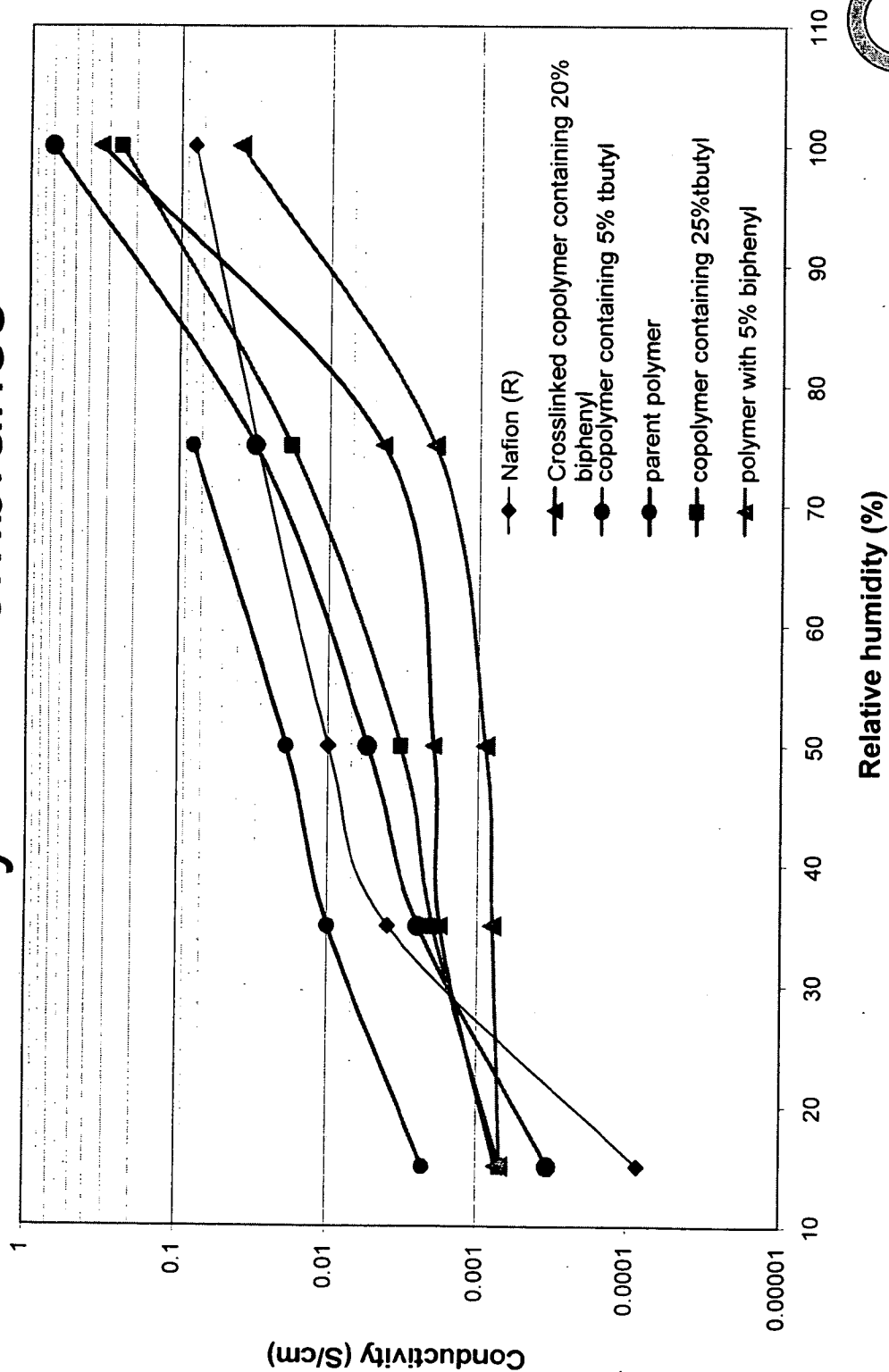
- Received from Case Western Reserve University
  - Dr. Morton Litt and Sergio Granados-Focil



- Soluble in water and methanol
- Needs to be crosslinked for mechanical stability



# Proton Conductivity of Water Insoluble Polymer Membranes



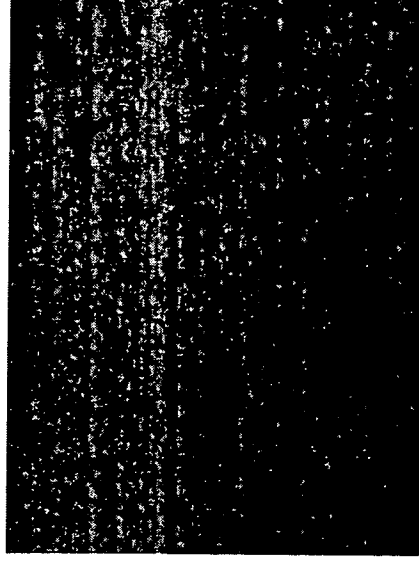
(b)

# Polyphenylene Sulfonic Acid Crosslinking

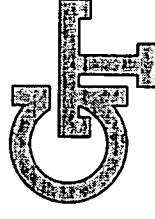
- PPSA films spin coated to thickness of 1  $\mu\text{m}$
- Electron beam exposure at 100°C
- No significant change in PPSA thickness
- PPSA film no longer soluble in water or methanol



**Before crosslinking**

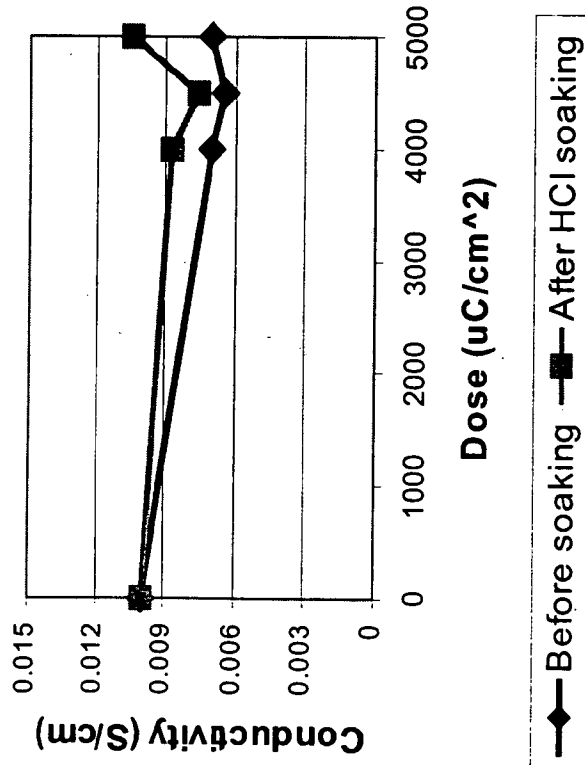


**After crosslinking**





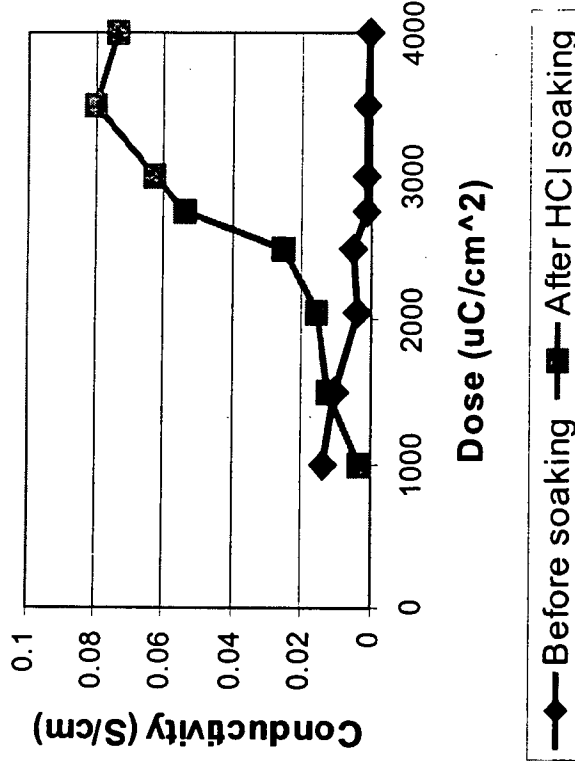
# Conductivity of PPSA



## Unmodified

- Soaked in 10% HCl for 24 hours
  - Increased ionic conductivity
- 3 wt% diazide\* added to PPSA
  - Promotes crosslinking, thus reducing necessary electron beam dose

\*2, 6-bis(4-azidobenzylidene)-4-ethyl cyclohexanone



## Doped with Diazide



# Silicon Dioxide as a Membrane

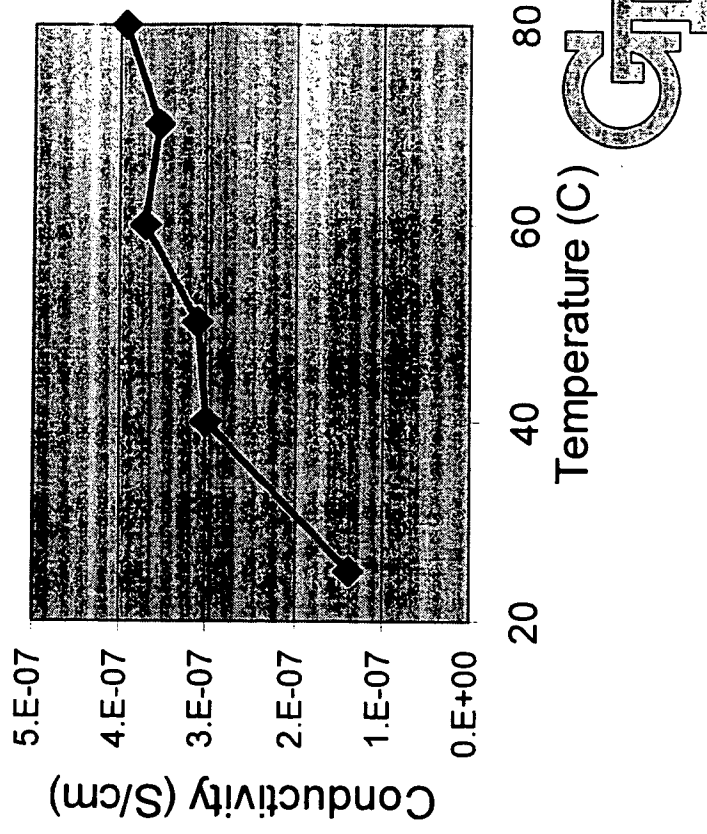
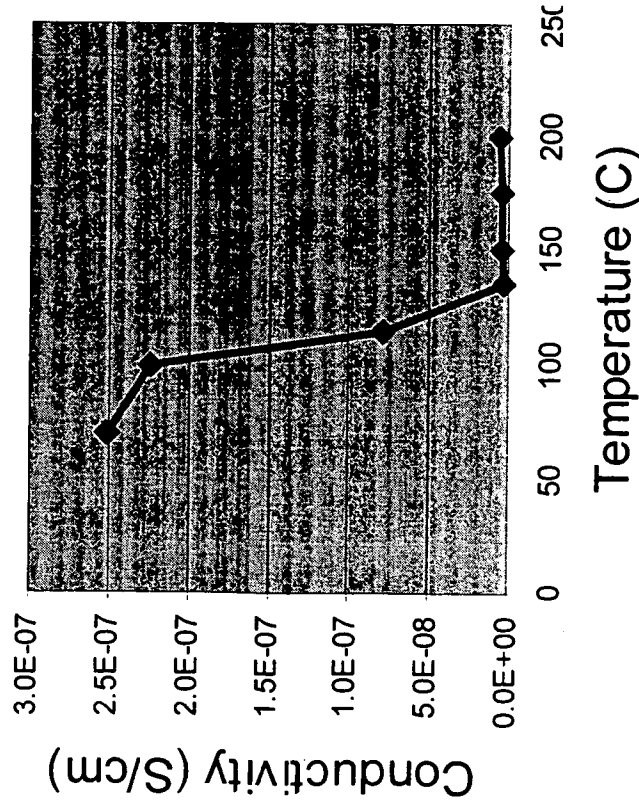
- Plasma-Enhanced Chemical Vapor Deposition (PECVD) at 75-150°C
  - Temperature is shown to have a large effect on permittivity and loss\*
  - Lower temperatures lead to increased silanol and water concentrations
  - The hydroxyl groups lead to increased polarity, and therefore higher ionic conductivity
- Support for polymer membranes
  - Mechanical support
  - Solvent Barrier
- Possible stand-alone membrane

\* Ceiler, Kohl, and Bidstrup, *J. Electrochemical Society*, Vol. 142, No. 6, pp. 2067-2071



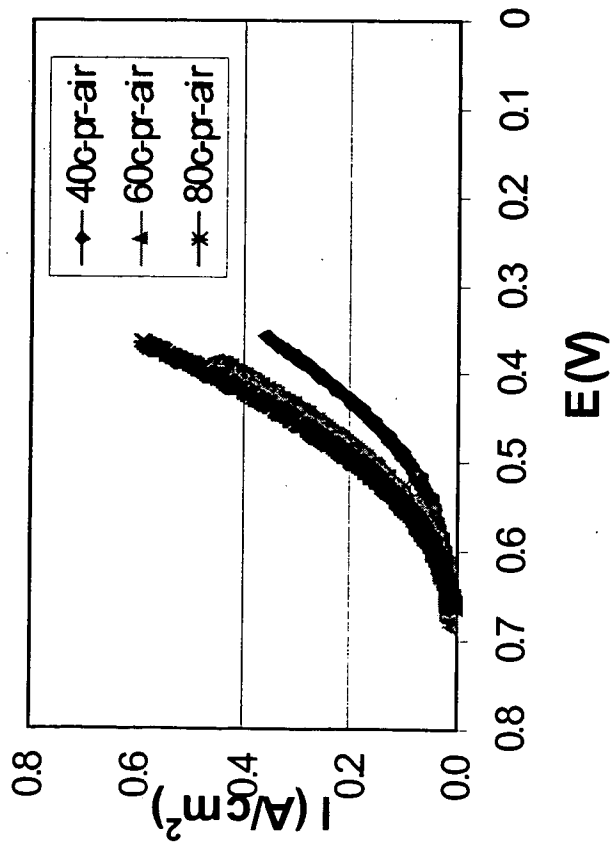
# Conductivity of Silicon Dioxide

- Increasing ionic conductivity of  $\text{SiO}_2$  films with decreasing deposition temperature
- Measured through the use of impedance spectroscopy
- Increasing ionic conductivity of  $\text{SiO}_2$  films with increase in temperature
- Measured through current step experiments

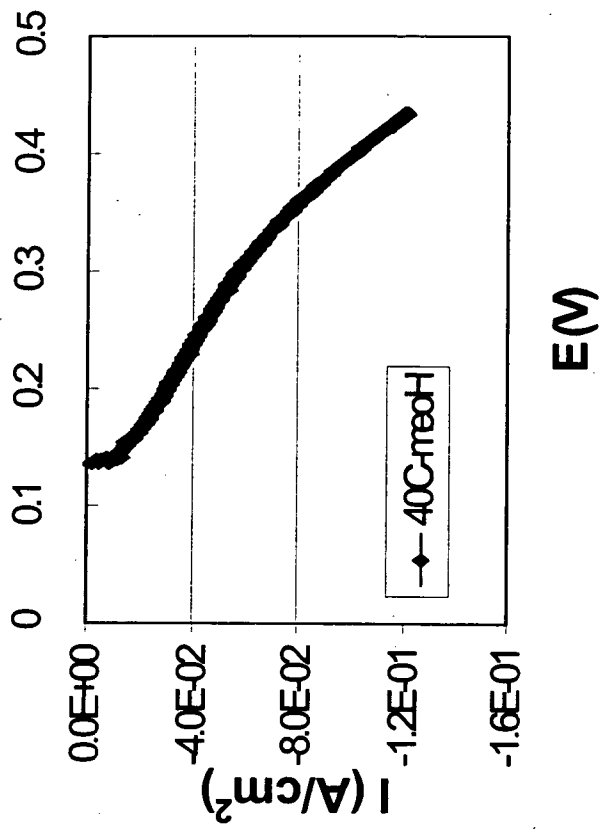


# SiO<sub>2</sub> Membrane Performance

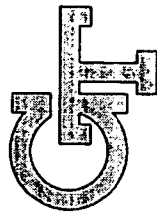
Pressurized Air Reduction



Methanol Oxidation

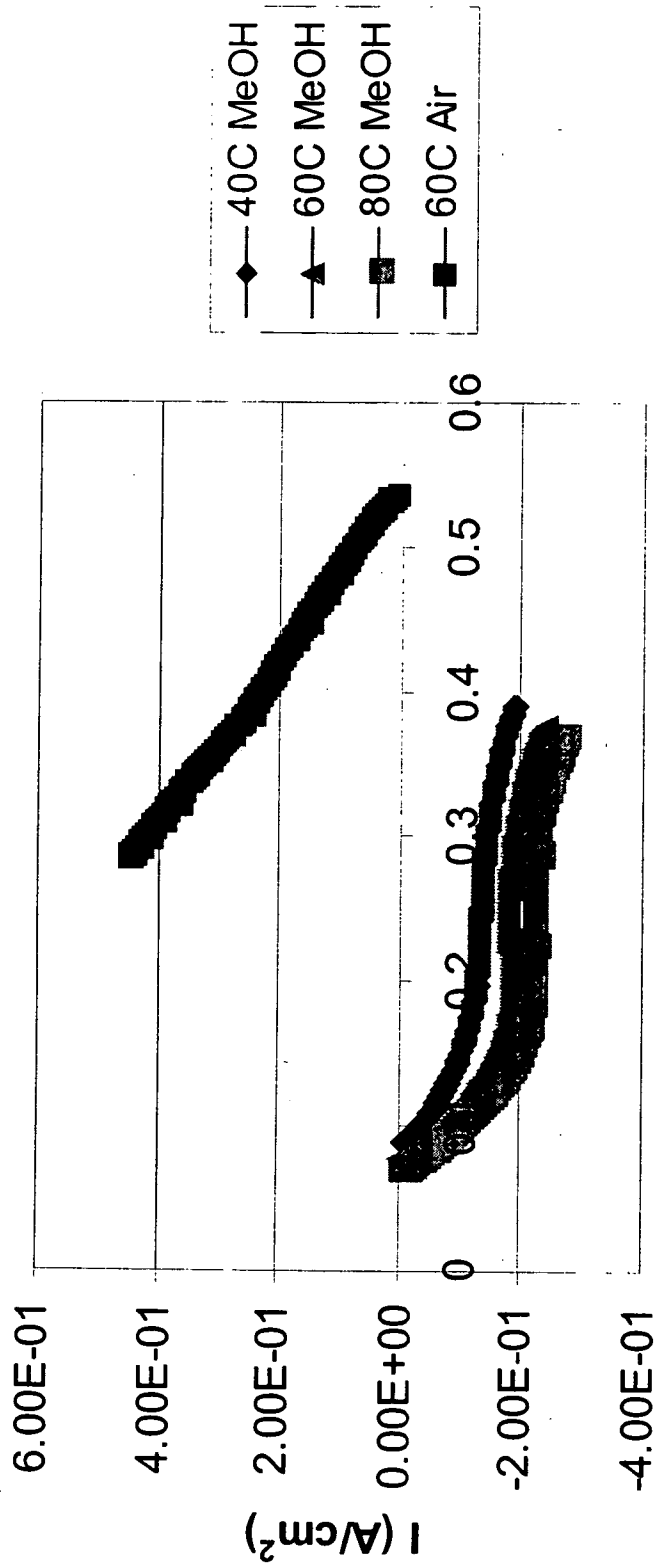


SiO<sub>2</sub> thickness = 3.0  $\mu$ m  
 Preloaded Pt catalyst  
 Voltage vs. SCE  
 Scan rate = 1 mV/s



h1

# SiO<sub>2</sub> Membrane Performance

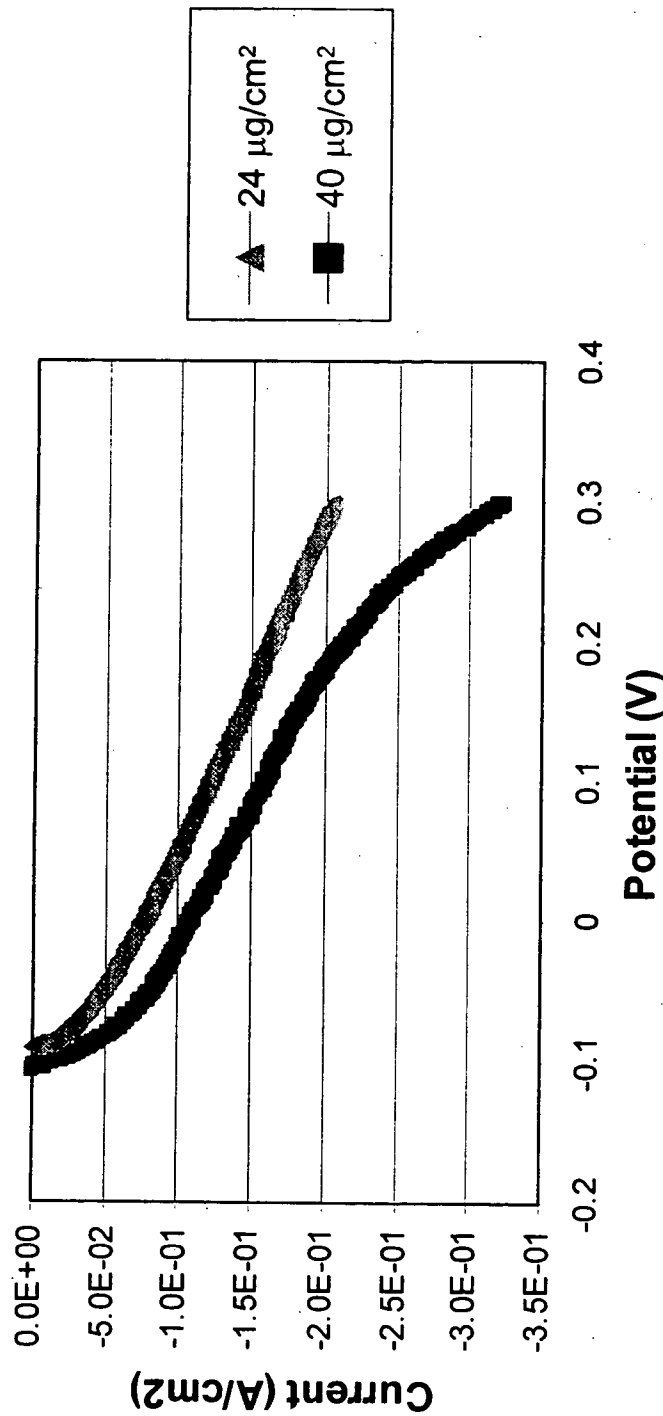


E (V)

SiO<sub>2</sub> thickness = 1.8  $\mu$ m  
Preloaded Pt-Ru catalyst  
Voltage vs. SCE  
Scan rate = 1 mV/s



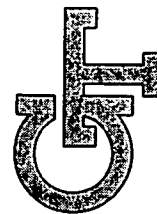
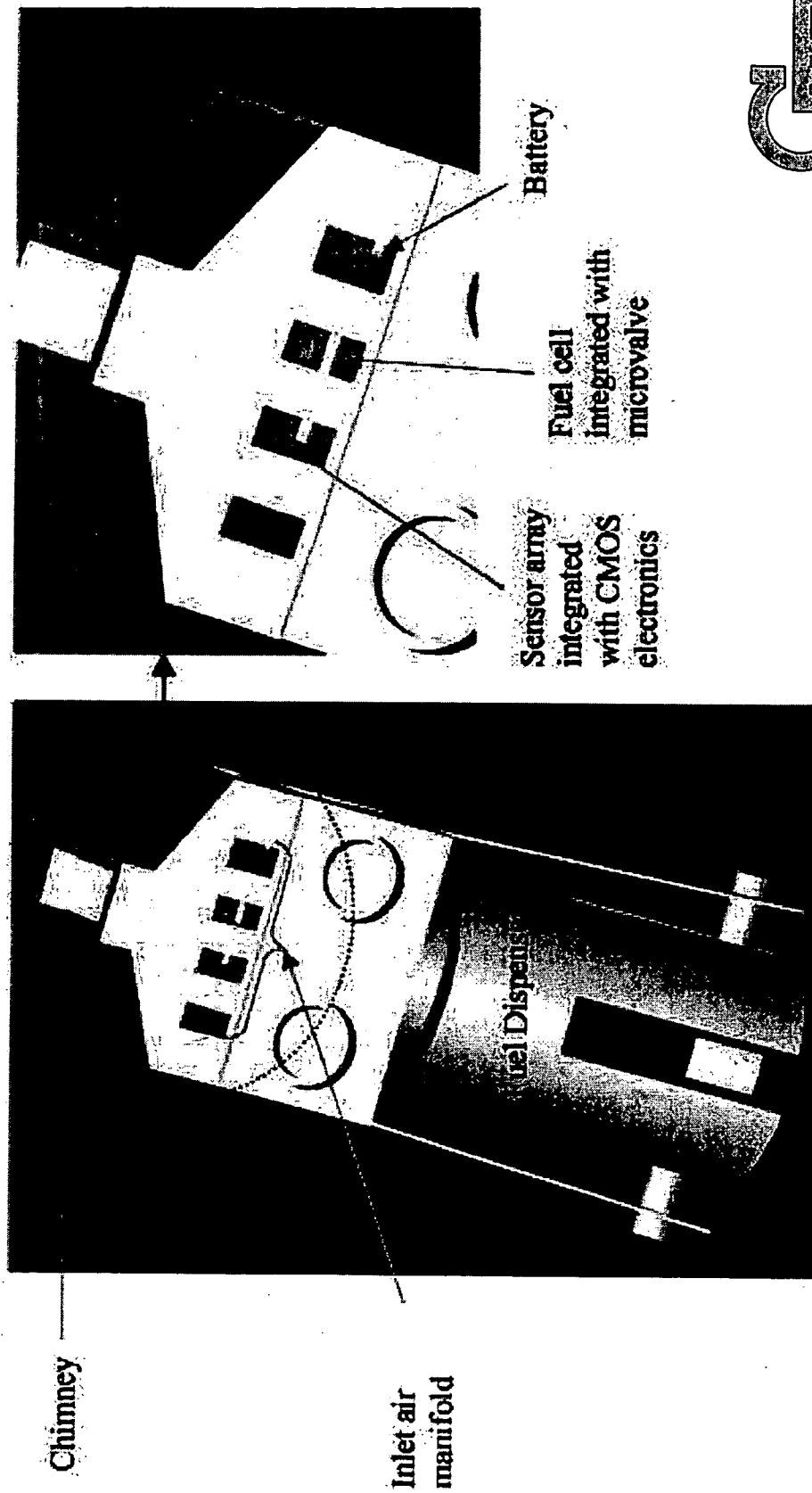
# Sputtered Catalyst Performance



Temperature = 60°C  
SiO<sub>2</sub> thickness = 3.2 µm  
Sputtered Pt-Ru catalyst  
Voltage vs. SCE  
Scan rate = 1 mV/s

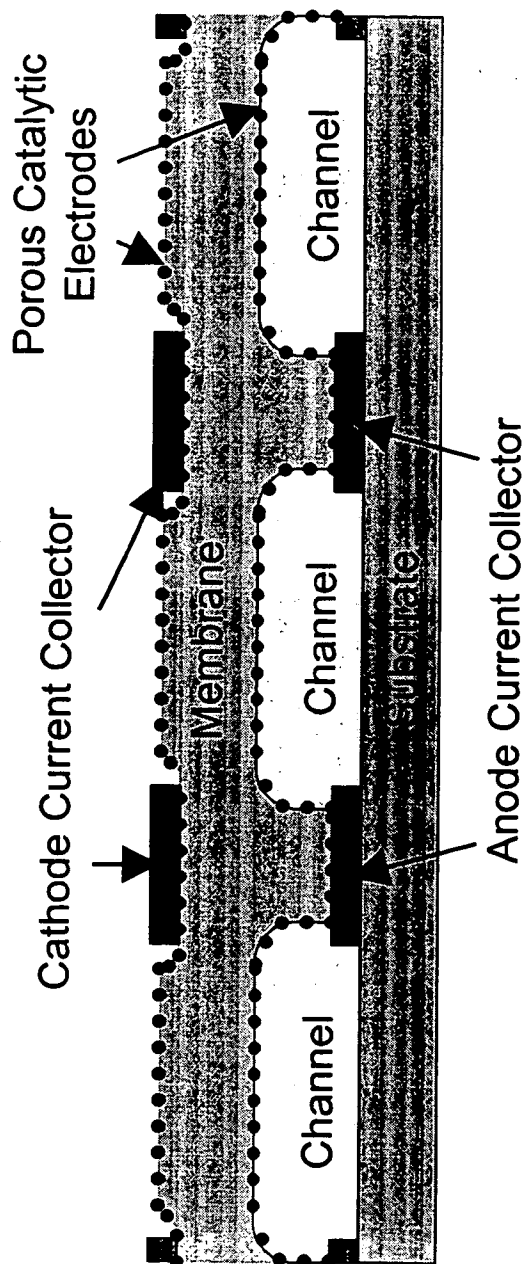
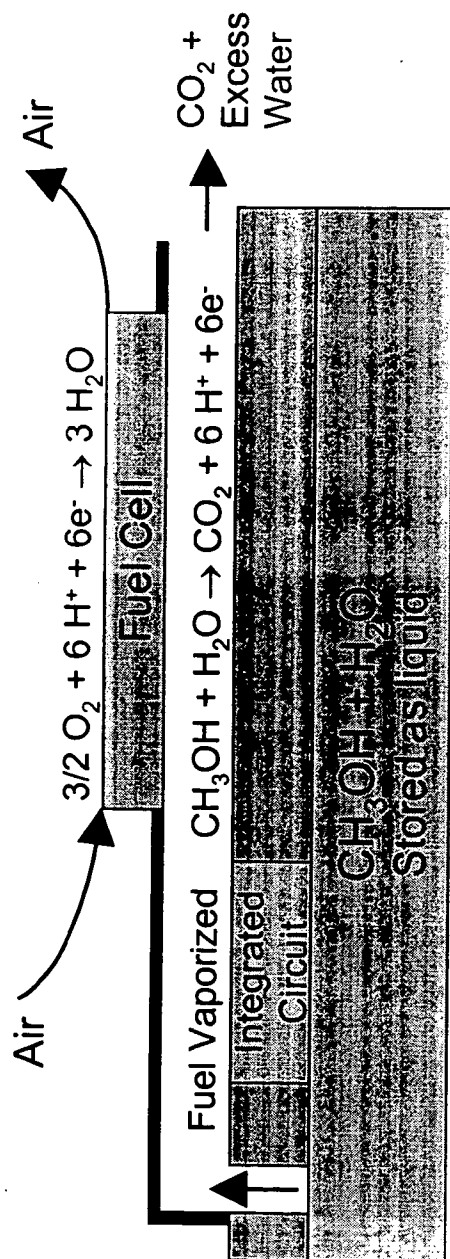


# Integrated Micro Fuel Cell/Si CMOS/Sensor Technology



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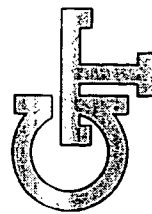
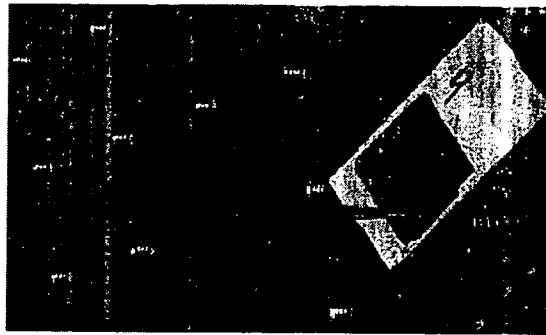
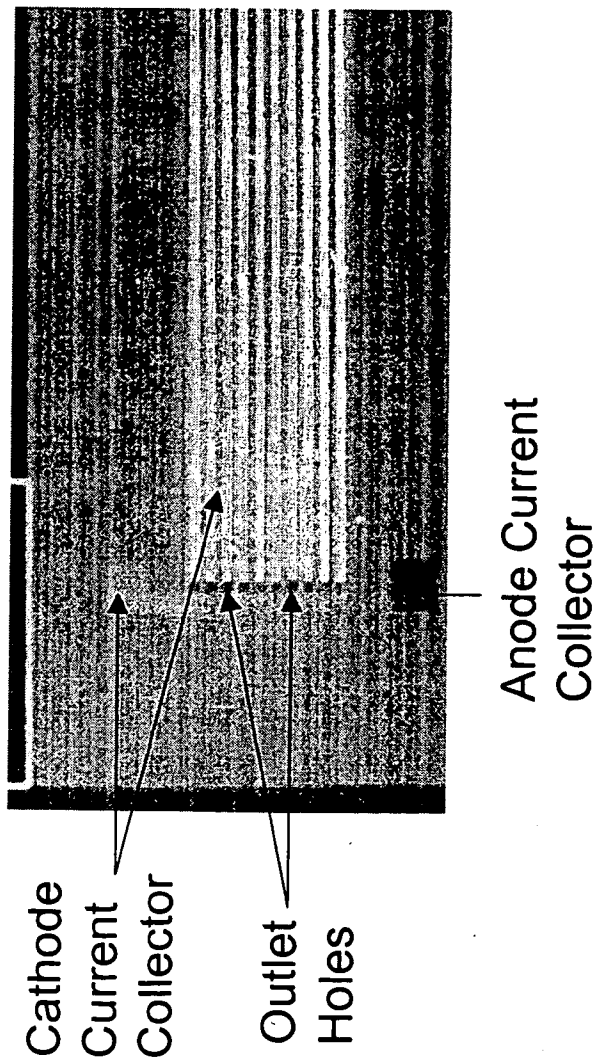
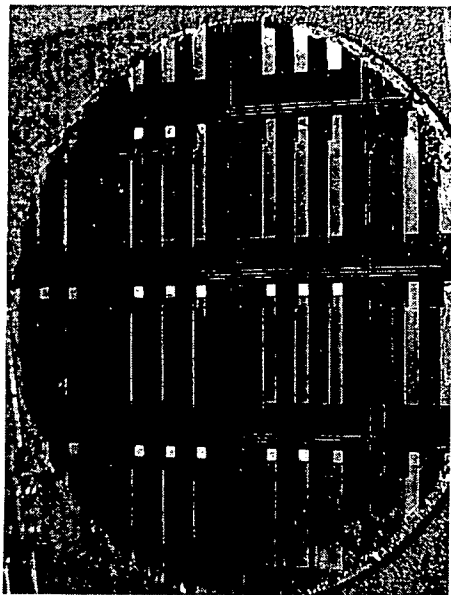
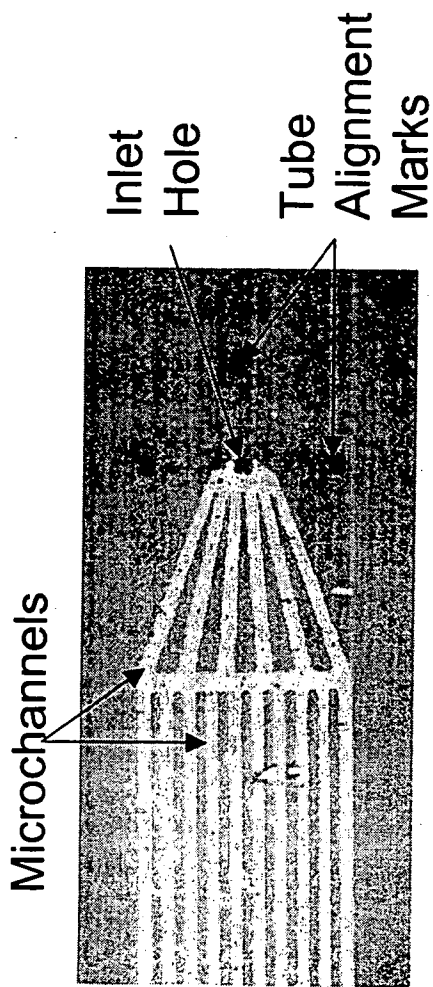
# Schematics of Micro Fuel Cell





# Fabricated Prototypes

(b1)



# Summary

- Thin-film membranes for use in proton exchange membrane (PEM) fuel cells have been developed.
  - Deposited through common microelectronic fabrication techniques, including spin-coating and PECVD
  - Incorporated into microfabricated fuel cell design
  - Needs mechanical support for larger designs
  - Low current density due to low catalyst loading



## Thin-film Membranes for Fuel Cells Disclosure Summary

Thin-film membranes for use in proton exchange membrane (PEM) fuel cells have been developed. Also included in the development are ways in which to support these films. These membranes are made through common micro-fabrication techniques, including spin-coating and plasma enhanced chemical vapor deposition (PECVD), and can have a thickness up to 20 micrometers. The materials include polymers, silicon dioxide, and doped silicon dioxide. The silicon dioxide membrane was deposited so as to have high ionic conductivity for proton exchange. The conditions for ionic conductivity were to have the deposition temperature low, such as 60°C to 200°C. PEM fuel cells membranes work by conducting protons from the cell anode to cathode. The thinner the membrane, the easier it is for protons to move through it, thus increasing the amount of electrical current that can be generated. Meanwhile, these thin-film materials are superior to currently used PEMs in preventing reactants from passing through the membrane, a common problem particularly in direct methanol fuel cells.

Slides 6 and 8 :  shows electron beam crosslinking of a polymer proton exchange membrane. This is the first demonstration of the electron beam crosslinking.

One expected use of these thin-film membranes is in micro fabricated fuel cells. The films would be deposited directly onto the substrate during the fabrication sequence. One example of this is in a previous disclosure for micro fabricated fuel cells where the membrane is deposited onto a patterned sacrificial material, which when removed leaves microchannels for fuel flow.

In other applications, the delicate nature of such a thin material requires that it be supported. The support structures include anything with small holes or porous materials, such as fritted glass or a gas diffusion layer. A filler material, such as wax or polymer, is used to fill in the holes. It is then polished to expose the support structure. The membrane is then deposited on the smooth surface. Removal of the filler material leaves the supported membrane with exposed areas for contact between the membrane and reactants. Alternately, the use of a filler material with a high permeability to the reactants would not require its removal.

The fuel cell catalyst can be deposited with these membranes in a variety of methods, including through inks or sputtering. The deposition can be onto or into the support, in between the filler and membrane, or even imbedded into a non-removed filler.

Figure 1 shows a schematic diagram of a supported membrane in its testing setup. Figures 2 and 3 show the polarization curve for a 3-um thick SiO<sub>2</sub> film deposited onto a gas diffusion layer with a platinum catalyst loading of 0.35 mg/cm<sup>2</sup>.

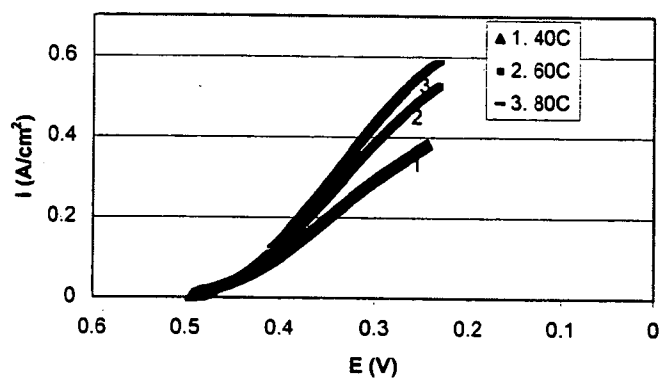
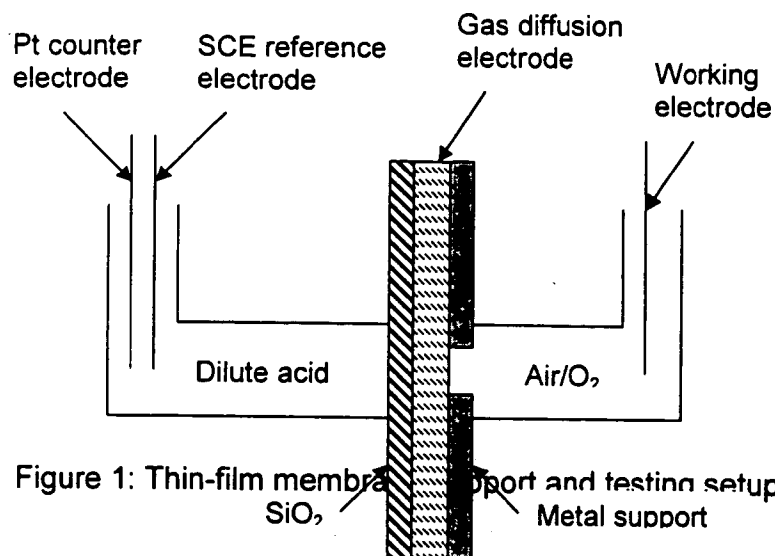


Figure 2: Cathode (air/O<sub>2</sub> half cell) polarization performance

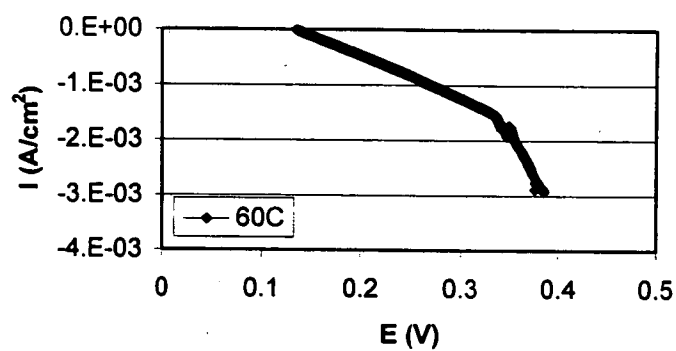


Figure 3: Anode (2M methanol) polarization performance

## Design Considerations

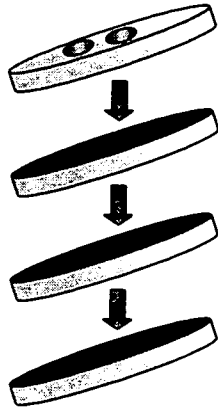
The following characteristics are desired for thin-film membranes and membrane-electrode assemblies.

Maximum exposure of membrane to fuel  
Maximum activity of catalyst with low loading  
High proton conductivity of membrane with no electrical conductivity  
High electrical conductivity of current collector  
Minimum methanol crossover through membrane, even with high concentrations of methanol feed

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## Step-by-Step Fabrication

To fabricate and support a thin-film membrane on a catalytic gas diffusion layer:



Solid support structure with small holes for fuel/membrane contact. A mesh or porous structure may also be used.

Cover the support with the gas diffusion layer (GDL).

If needed, the GDL can be coated with Nafion, or similar material, to fill in any uneven spaces.

Deposit thin film membrane through spin-coating or plasma enhanced chemical vapor deposition.

A process sequence for integrated micro fuel cells:



Fabricate Sensor and CMOS Devices



Overcoat Microchannels with Polymer Electrolyte Membrane



Pattern Sacrificial Polymer for Microchannels



Dielectric Cure and Decomposition of Sacrificial Polymer



First Metallization of CMOS and Anode Catalyst



Second Metallization of CMOS and Cathode Catalyst

# Micro-Fuel Cell

1 mW power at 0.4 V

50% efficiency, No crossover

